



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Oliver Bruestle

Art Unit:

1632

Serial No.:

09/581,890

Examiner:

Falk, Anne Marie

Filing Date:

August 28, 2000

Title:

Neural Precursor Cells, Method for the

**Production and Use Thereof in Neural** 

**Defect Therapy** 

## **CERTIFICATION UNDER 37 CFR § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail with sufficient postage in an envelope addressed to Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

8/24/03

Date of Signature and of Mail Deposit

Rochelle Capolianco

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97-98, Applicant hereby makes of record the documents listed on the attached Form PTO-1449, and encloses copies herewith.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

08/26/E003 DERHE

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This submission does not constitute a representation by Applicant that a search has been made or that no better art exists and does not constitute an admission that any of the listed documents is material or constitutes "prior art." Applicant reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Second Supplemental Information Disclosure Statement is being filed after the mailing date of a first Office Action on the merits, therefore, the Commissioner is hereby authorized to charge the required fee of \$180.00 to Deposit Account No. <u>08-0219</u>.

If the above amount is incorrect, the Commissioner is authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. <u>08-0219</u>.

Respectfully submitted,

Dated: August 22, 2003

Ann-Louise Kerner, Ph.D Registration No. 33,523 Attorney for Applicant

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## September 1970-1449 INFORMATION DISCLOSURE IN AN APPLICATION Use several sheets if necessary)

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OF

Docket Number 107070.113/VOS-012

Application Number 09/581,890

Applicant
Oliver Brüestle

Filing Date Group Art Unit
August 28, 2000 1632

U.S. Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION NO

	Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)
A1	Brüstle, et al., "In Vitro – generated neural precursors participate in mammalian brain development," Proc. Natl. Acad. Sci., USA, (1997), Vol. 94, pp. 14809-14814
A2	Brüstle, et al., "Embryonic Stem Cell-Derived Glial Precursors: A Source of Myelinating Transplants," <i>Science</i> , (1999) Vol. 285, pp. 754-756
АЗ	Damjanov, "Teratocarcinoma: neoplastic lessons about normal embryogenesis," <i>Int. J. Dev. Biol.</i> , (1993) Vol. 37, pp. 39-46
A4	Sotelo, et al., "Molecular Plasticity of Adult Bergmann Fibers is Associated with Radial Migration of Grafted Purkinje Cells," <i>J. Neuroscience</i> , (1994), Vol. 14(1), pp. 124-133
<b>A</b> 5	Steghaus-Kovac, "Stem Cells as Potential Nerve Therapy," Science, (1999), Vol. 285, pp. 650-651

EXAMINER	DATE CONSIDERED	
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**EXAMINER:** Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.